

**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 080398.P410D  
(maximum 12 characters)

First Named Inventor L. Michael Maritzen

Title: METHOD AND APPARATUS FOR USING A KIOSK AND A TRANSACTION DEVICE IN AN ELECTRONIC COMMERCE SYSTEM

Express Mail Label No. EV341060497US

031088 U.S.PTO  
10/7/03386



ADDRESS TO: **Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450**

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  **Fee Transmittal Form (e.g., PTO/SB/17)**  
(Submit an original and a duplicate for fee processing)
2.  **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3.  **Specification (Total Pages 63)**  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference sequence listing, a table,  
or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  **Drawings(s) (35 USC 113) (Total Sheets 26)**
5.  **Oath or Declaration (Total Pages 4)**
  - a.  Newly Executed (Original or Copy)
  - b.  Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 18 completed)
    - i.  **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
    - c.  Unsigned.
6.  **Application Data Sheet. (37 CFR 1.76)**
7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b.  Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

## **ACCOMPANYING APPLICATION PARTS**

9. \_\_\_\_\_ **Assignment Papers (cover sheet & documents(s))**  
10. \_\_\_\_\_ a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)  
\_\_\_\_\_ b. Power of Attorney  
11. \_\_\_\_\_ English Translation Document (if applicable)  
12.  a. Information Disclosure Statement (IDS)/PTO-1449 (or PTO/SB/08)  
\_\_\_\_\_ b. Copies of IDS Citations  
13.  **Preliminary Amendment**  
14.  **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**  
15. \_\_\_\_\_ Certified Copy of Priority Document(s) (if foreign priority is claimed)  
16. \_\_\_\_\_ **Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**  
17A. \_\_\_\_\_ Claim for Foreign Priority  
17B. \_\_\_\_\_ Other: \_\_\_\_\_  
  
17C.  **Pursuant to 37 C.F.R. 1.136(a)(3), applicant(s) hereby request and authorize the U.S. Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 C.F.R. 1.16 and 1.17, to Deposit Account No. 02-2666.**

**18. If a CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title (e.g., by way of preliminary amendment), or in an Application Data Sheet Under 37 C.F.R. 1.76:

Continuation       Divisional       Continuation-in-part (CIP)  
Of Prior Application No.: 09/733,513 Examiner Chilcot, R. Group Art Unit 3627  
(which is a  continuation/  divisional/  CIP of prior application no. \_\_\_\_\_,  
which is a  continuation/  divisional/  CIP of prior application no. \_\_\_\_\_) (List entire chain of priority)

**Applicant(s):** Also include a Preliminary Amendment to amend the specification to claim priority.  
**For CONTINUATION AND DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

<p>19. Correspondence Address        Customer Number or Bar Code Label        _____        here)  <input checked="" type="checkbox"/> Correspondence Address Below  <b>NAME</b> <u>Marina Portnova</u>  <b>ADDRESS</b> <u>BLAKELY, SOKOLOFF, TAYLOR &amp; ZAFMAN LLP</u>  <u>12400 Wilshire Boulevard</u>  <u>Seventh Floor</u></p>	<p>or        (Insert Customer No. or Attach Bar Code Label        _____)</p>
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Name (PRINT/TYPE): Marina Portnova Registration No.: 45,750  
Signatur : M. Portnova Dat : N v m b r 6, 2003

# FEE TRANSMITTAL FOR FY 2004

(FY 2004 Begins 10/01/2003)

**TOTAL AMOUNT OF PAYMENT (\$)** \$770.00

Complete if Known:

Application No. \_\_\_\_\_  
 Filing Date \_\_\_\_\_  
 First Named Inventor L. Michael Maritzen  
 Examiner Name \_\_\_\_\_  
 Art Unit \_\_\_\_\_  
 Attorney Docket No. 080398.P410D

\_\_\_\_ Applicant claims small entity status. See 37 CFR 1.27.

## METHOD OF PAYMENT (check all that apply)

Check  Credit Card  Money Order  Other  None

Deposit Account

Deposit Account Number : 02-2666

Deposit Account Name: \_\_\_\_\_

The Director is Authorized to do the following with respect to the above-identified Deposit Account:

\_\_\_\_ Charge fee(s) indicated below.

Credit any overpayments.

Charge any additional fees during the pendency of this application.

Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.

\_\_\_\_ Charge fee(s) indicated below except for the filing fee.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Small Entity				
Fee	Fee	Fee	Fee	Fee Description	Fee Paid
Code	(\$)	Code	(\$)		
1001	770	2001	385	Utility application filing fee	<u>770.00</u>
1002	340	2002	170	Design application filing fee	_____
1003	530	2003	265	Plant filing fee	_____
1004	770	2004	385	Reissue filing fee	_____
1005	160	2005	80	Provisional application filing fee	_____

SUBTOTAL (1) \$ 770.00

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims 7	- 20** = 0	X 18.00	= 00.00
Independent Claims 3	- 3** = 0	X 86.00	= 00.00
Multiple Dependent			= _____

\*\*Or number previously paid, if greater; For Reissues, see below.

Large Entity	Small Entity			
Fee	Fee	Fee	Fee	Fee Description
Code	(\$)	Code	(\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	**Reissue independent claims v r original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 00.00

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>	
Fee Cod 1051 1052 1053 1812 1813 1804 1805 1251 1252 1253 1254 1255 1401 1402 1403 1451 1452 1453 1501 1502 1503 1460 1807 1806 8021 1809 1814 1810 1801 1802 1504 1505 1803 1808 1454	Fee (\$) 130 50 130 2,520 8,800 920* 1,840* 110 420 950 1,480 2,010 330 330 290 1,510 110 1,330 1,330 480 640 130 50 180 40 770 110 770 770 900 300 300 130 130 1,330	Cod (\$) 2051 2052 1053 1812 1813 1804 1805 2251 2252 2253 2254 2255 2401 2402 2403 1451 2452 2453 2501 2502 2503 1460 1807 1806 8021 2809 2814 2810 2801 1802 1504 1505 1803 1808 1454	Surcharge - late filing fee or oath Surcharge - late provisional filing fee or cover sheet Non-English specification For filing a request for ex parte reexamination Request for inter parties reexamination Requesting publication of SIR prior to Examiner action Requesting publication of SIR after Examiner action Extension for reply within first month Extension for reply within second month Extension for reply within third month Extension for reply within fourth month Extension for reply within fifth month Notice of Appeal Filing a brief in support of an appeal Request for oral hearing Petition to institute a public use proceeding Petition to revive – unavoidable Petition to revive - unintentional Utility issue fee (or reissue) Design issue fee Plant issue fee Petitions to the Commissioner Processing fee under 37 CFR 1.17(q) Submission of Information Disclosure Stmt Recording each patent assignment per property (times number of properties) For filing a submission after final rejection (see 37 CFR 1.129(a)) Statutory Disclaimer For each additional invention to be examined (see 37 CFR 1.129(b)) Request for Continued Examination (RCE) Request for expedited examination of a design application Publication fee for early, voluntary, or normal pub. Publication fee for republication Request for voluntary publication or republication Processing fee under 37 CFR 1.17(i) (except provisionals) Acceptance of unintentionally delayed claim for priority	_____

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) \$ 00.00**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**Typed or Printed Name: Marina PortnovaSignature: M. Portnova Date: November 6, 2003Reg. Number: 45,750 Telephone Number: (408) 720-8300

Send to : Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450